

# Systematic Investigation of the Formation of 1D $\alpha$ - $\text{Si}_3\text{N}_4$ Nanostructures by Using a Thermal-Decomposition/Nitridation Process

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**Abstract:** This article describes a simple thermal-decomposition/nitridation method for the large-scale synthesis of 1D  $\alpha$ - $\text{Si}_3\text{N}_4$  nanostructures, such as millimeter-scale microribbons, nanosaws, nanoribbons, and nanowires. These nanostructures are systematically investigated by checking the product

deposited at different areas by using powder X-ray diffraction, scanning electron microscopy, transmission elec-

**Keywords:** crystal growth • electron microscopy • nanostructures • nitrides • silicon

tron microscopy, and electron energy loss spectroscopy. Studies show that all these nanostructures have a single-crystalline nature and predominately grow along the [011] direction. These 1D nanostructures are formed by thermal decomposition, followed by the nitridation of  $\text{SiO}$ .

## Introduction

1D nanostructures (wires, rods, tubes, belts, and ribbons) are expected to play an important role in the fabrication of nanoscale devices.<sup>[1–3]</sup> The utilization of nanoscale materials inevitably requires full control of the structure, size, shape, and the assembly mechanisms, because the intrinsic properties of nanoscale materials are determined by their structures, that is, size, shape, composition, and crystallinity.<sup>[4–6]</sup>  $\text{Si}_3\text{N}_4$  is an advanced material and usually two types of structural modifications exist, namely, metastable, low-temperature-phase trigonal  $\alpha$ - $\text{Si}_3\text{N}_4$  (space group:  $P\bar{3}1c$ ), and stable hexagonal  $\beta$ - $\text{Si}_3\text{N}_4$  (space group:  $P6_3/m$ ).<sup>[7]</sup>  $\text{Si}_3\text{N}_4$  is one of the most important technical ceramics owing to its interesting properties, such as high strength at high temperatures, low density, good resistance to corrosion, wear, thermal shock and creep, excellent chemical stability, and so forth. These properties favor  $\text{Si}_3\text{N}_4$  as a suitable material for a wide range of technical applications, especially for high-temperature engineering applications.<sup>[8,9]</sup>

Much research has focused on the synthesis of 1D  $\text{Si}_3\text{N}_4$  nanostructures. For example,  $\text{Si}_3\text{N}_4$  nanowires have been

synthesized by using various methods, including a carbon-nanotube-confined reaction, heat treatment of Si and/or  $\text{SiO}_2$  with  $\text{N}_2$ , or combustion with or without catalysts, and so forth.<sup>[10,11]</sup> Recently, distinct micro- and nanostructures with belt- or ribbonlike morphology in inorganic materials have received extensive attention for both fundamental research and technological applications.<sup>[1b,12–14]</sup> It is thought that if single-crystalline  $\text{Si}_3\text{N}_4$  micro- or nanoribbons can be achieved, they will not only possess more interesting mechanical properties, but will also have different optical properties.  $\text{Si}_3\text{N}_4$  micro- and nanoribbons have been fabricated very recently. For example, An and co-workers synthesized a mixture of  $\alpha$ - and  $\beta$ - $\text{Si}_3\text{N}_4$  nanobelts through catalyst-assisted pyrolysis of polysilazane.<sup>[15]</sup> Yin et al. fabricated wide  $\alpha$ - $\text{Si}_3\text{N}_4$  nanobelts by a vapor–solid (VS) thermal reaction between ammonia and  $\text{SiO}$  without using a catalyst.<sup>[16]</sup> Recently, we have reported a very simple and effective thermal-decomposition/nitridation method for the synthesis of  $\text{Si}_3\text{N}_4$  microribbons, both pure  $\alpha$ - $\text{Si}_3\text{N}_4$  microribbons and a mixture of  $\alpha$ - and  $\beta$ - $\text{Si}_3\text{N}_4$  microribbons.<sup>[17]</sup> In our previous study, we only obtained microribbons of  $\text{Si}_3\text{N}_4$  and simply investigated their cathodoluminescence properties. In the present work, after systematic investigation of a series of products obtained by designing different deposition crucibles or controlling the experimental parameters, we found that various 1D pure  $\alpha$ - $\text{Si}_3\text{N}_4$  nanostructures, including millimeter-scale microribbons, nanosaws, nanoribbons, and nanowires, could be obtained by using a simple thermal-decomposition/nitridation method. We studied the photoluminescence (PL) properties of the resulting nanostructures. These

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systematic studies of 1D pure  $\alpha$ - $\text{Si}_3\text{N}_4$  nanostructures may not only provide more comprehensive insights into the formation mechanism of the nanostructures, but may also provide a simple approach to achieve 1D nanostructures with different morphologies.

## Results and Discussion

1D  $\text{Si}_3\text{N}_4$  nanostructures were synthesized in a vertical induction furnace as shown in Figure 1. Following the reaction, it was found that large amounts of white to grey-white

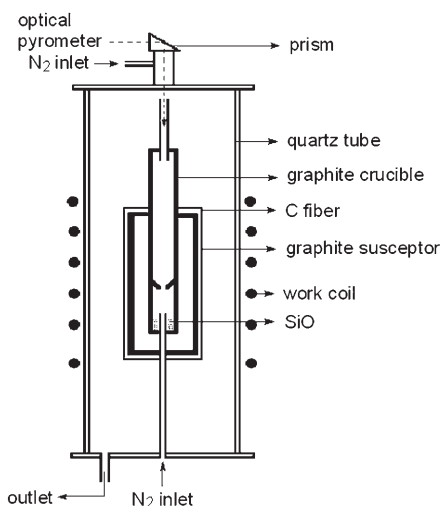


Figure 1. Schematic diagram of the vertical induction furnace utilized in the experiments.

cottonlike fibers grew on the inner wall of the graphite crucible. Figure 2 displays a typical X-ray diffraction (XRD) pattern of the products. All peaks in this pattern can be readily indexed to hexagonal  $\alpha$ - $\text{Si}_3\text{N}_4$  structures with cell constants comparable to those previously reported in litera-

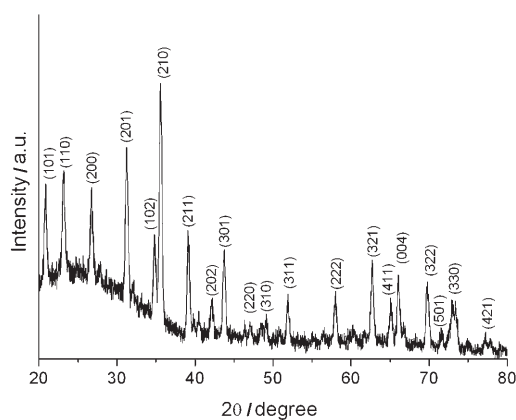


Figure 2. A typical XRD pattern of the product obtained during high-temperature evaporation of SiO in  $\text{N}_2$  gas.

ture (JCPDS No. 41-360). No characteristic peaks from impurities, such as Si, SiO, or SiC were detected in the XRD pattern, indicating the formation of pure  $\alpha$ - $\text{Si}_3\text{N}_4$  within experimental error ( $\sim 5\%$ ).

The structures and morphologies of the deposited products were further investigated by using scanning electron microscopy (SEM), transmission electron microscopy (TEM), and electron energy loss spectroscopy (EELS). The results show that several unique 1D  $\alpha$ - $\text{Si}_3\text{N}_4$  nanostructures, including millimeter-scale microribbons, nanosaws, wide nanoribbons, narrow nanoribbons, and nanowires, were deposited on different areas of the inner walls of the crucible; the relationship between the deposition sites and the products are listed in Table 1.

Table 1. The relationship between the deposition distance (between the source material and the deposition site) and the morphology of the product.

Sample Name	Distance [cm]	Product
S1	0	millimeter-scale $\text{Si}_3\text{N}_4$ microribbons
S2	$\sim 7$	$\text{Si}_3\text{N}_4$ nanosaws
S3	8–9	wide $\text{Si}_3\text{N}_4$ nanoribbons
S4	9–11	narrow $\text{Si}_3\text{N}_4$ nanoribbons
S5	$\sim 13$	$\text{Si}_3\text{N}_4$ nanowires

**Millimeter-scale  $\alpha$ - $\text{Si}_3\text{N}_4$  microribbons (S1):** Figure 3A shows a low-magnification SEM image of the product directly deposited on the surface of the source materials. The product

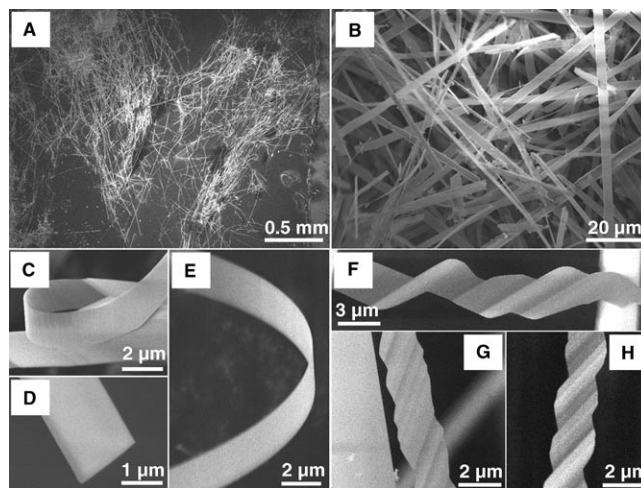


Figure 3. A) Low-magnification and B) high-magnification SEM image of the millimeter-long  $\alpha$ - $\text{Si}_3\text{N}_4$  microribbons. C–E) SEM images showing the twisted ribbonlike shapes. F–H) Interesting wavy or zigzag microribbons.

consists of a large quantity of very long and straight fiberlike structures. The typical length of the product is several millimeters. The higher magnification SEM image shown in Figure 3B indicates that these fiberlike structures are microribbons with rectangular cross sections. Analysis of a number of the microribbons shows that each microribbon

has a diameter of about 2–7  $\mu\text{m}$  and a thickness of about 200 nm. Figures 3C and D are high-magnification SEM images, which clearly show the twisted ribbon shape and the thickness of the microribbons. During the SEM observations, in addition to the significant portion of  $\text{Si}_3\text{N}_4$  microribbons shown in Figures 3A–D, the most striking feature is the formation of wavy or zigzag microribbons. Figures 3E and F show the SEM images of wavy or zigzag microribbons. Each microribbon with rectangular cross section is several micrometers in width, 200 nm in thickness and several millimeters in length, Figures 3A–D.

**$\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws (S2):** Recently, very interesting asymmetrically grown 1D nanostructures called nanosaws have been reported for Group 2–6 semiconductors, such as ZnO, CdS, and CdSe.<sup>[18]</sup> The characteristic feature of these nanosaws is the formation of sawlike ribbons; one side is flat and the other side has sharp or flat teeth. Studies have shown that the nanosaw structures are the result of surface-termination-induced growth; these nanosaw structures are characteristic of semiconductors with wurtzite structure. This observation was also confirmed by the successful fabrication of wurtzite

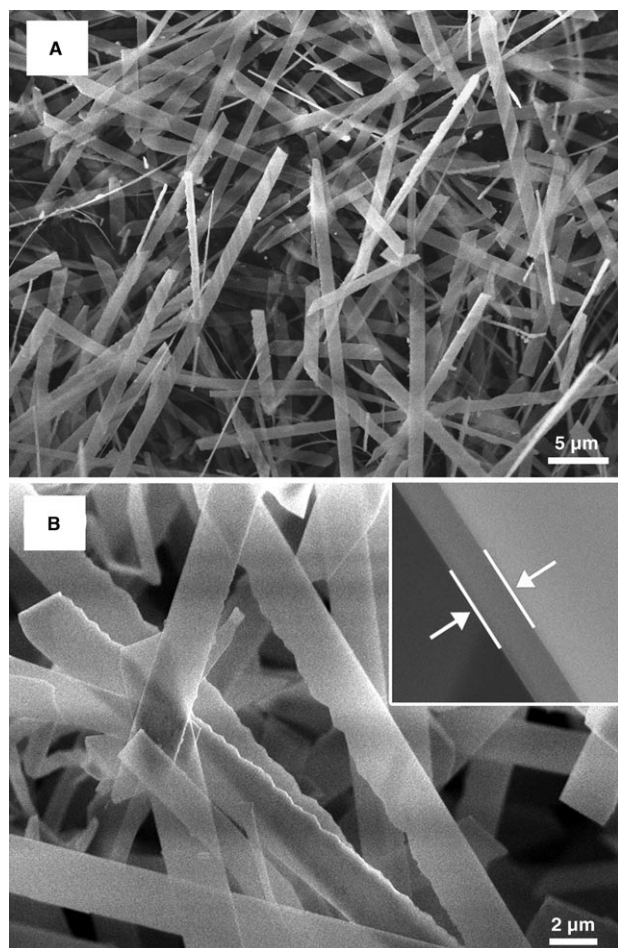


Figure 4. A) Low- and B) high-magnification SEM images of as-grown  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws. The upper right inset in B) shows the typical thickness of a nanosaw.

GaN nanosaws.<sup>[19]</sup> In our experiments, sawlike  $\alpha$ - $\text{Si}_3\text{N}_4$  nanostructures were also obtained for the first time. The SEM images of the  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws deposited about 7 cm away from the source material are shown in Figures 4A and B. From these images, it is clear that the most dominant morphology found in the as-grown sample is the saw-shaped nanoribbons. Compared with the previously reported nanoribbons or microribbons, the present  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws have one flat side and one sharp, teethlike side instead of two flat sides. The thickness of a typical nanosaw is about 90 nm, as shown in the inset of Figure 4B.

These interesting  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaw structures were further investigated by using TEM; the corresponding images are shown in Figures 5A–D. From these images, it can be seen

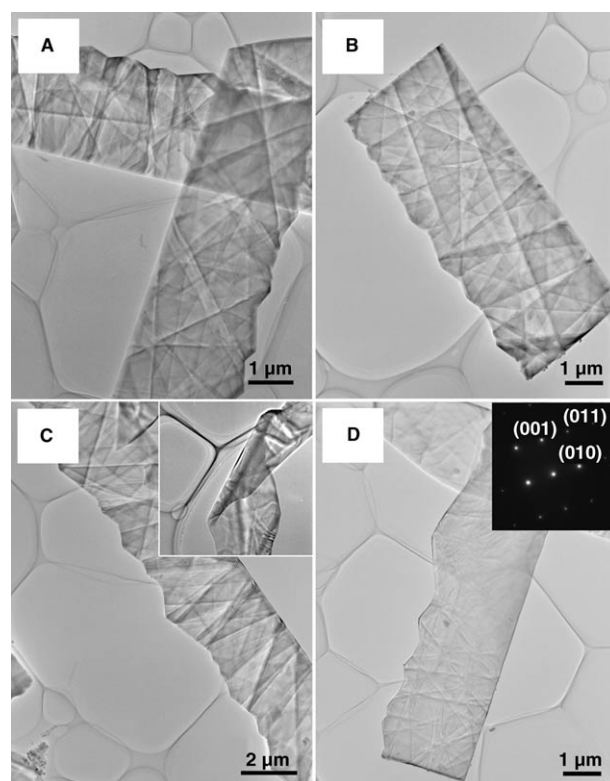


Figure 5. A–D) TEM images of the as-grown  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws. The upper right insets in C) and D) show the ribbon shape and the SAED pattern, respectively.

that the width of the nanosaws is about 3–5  $\mu\text{m}$ . The nanosaws are almost transparent as the copper grid can even be seen through several thin nanosaws. The tips of the nanosaws are flat and no particles were found attached to the tips, indicating that the vapor–liquid–solid (VLS) process may not be the dominant growth mechanism for the growth of  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws. The apparent ripplelike contrast in the TEM images is due to the strain resulting from the ribbon bending. The ribbon-shaped structures can also be clearly seen in the the inset of Figure 5C. The inset of Figure 5D is the corresponding selected-area electron diffraction (SAED) pattern of the nanosaw shown in Figure 5D. The

SAED pattern was obtained from the [100] zone axis of the  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws, which corresponds to the nanobelt grown along the [011] direction. Upon careful checking of several tens of nanosaws it was found that all the  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws have a unique growth along the [011] direction.

Figure 6A is a TEM image of a typical segment of  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws with a diameter of about 3  $\mu\text{m}$ . A representative

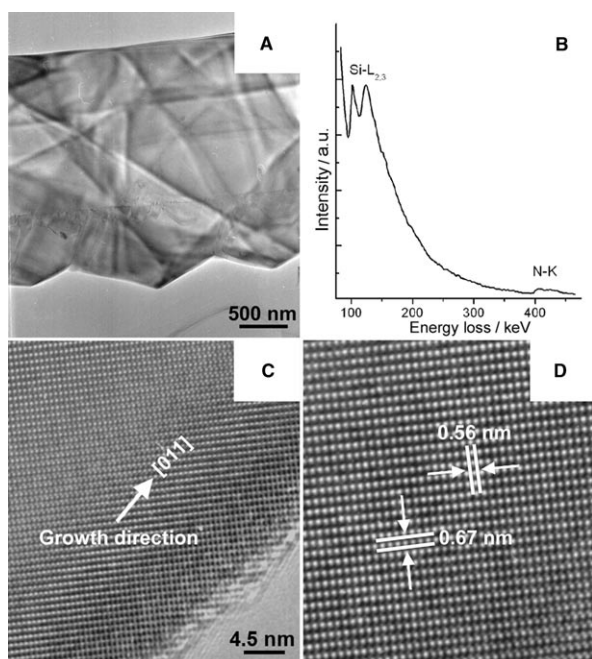


Figure 6. A) A typical low-magnification TEM image of wide  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws. B) EELS spectrum showing that the nanosaws are composed of only two Si and N elements. C) and D) HRTEM lattice images of the  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws grown along the [011] direction.

electron energy loss (EEL) spectrum (Figure 6B), recorded by using a stationary focused 1 nm electron probe, shows the composition of the  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaw. The peaks of the Si-L<sub>2,3</sub> edge (~99 eV) and N-K edge (~401 eV) indicate that the nanosaw is composed of Si and N. An insight into the structure of the nanosaw is revealed from high-resolution TEM (HRTEM) lattice images, which are displayed in Figures 6C and D. The *d* spacings of 0.56 and 0.67 nm in Figure 6D correspond well to the (001) and (010) plane, respectively, of  $\alpha$ - $\text{Si}_3\text{N}_4$ . Tens of nanosaws were checked and they gave the same results. Both the HRTEM images and the SAED patterns confirm the growth direction along the (011) plane. It is thought that the growth mechanism of the  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws produced in this work is similar to the structure reported in previous reports on wurtzite CdSe nanosaws; this mechanism was suggested to be a result of the polar surfaces.

**Wide  $\alpha$ - $\text{Si}_3\text{N}_4$  nanoribbons (S3):** SEM images of the product deposited 8–9 cm away from the source material are shown in Figure 7. A low-magnification SEM image (Figure 7A)

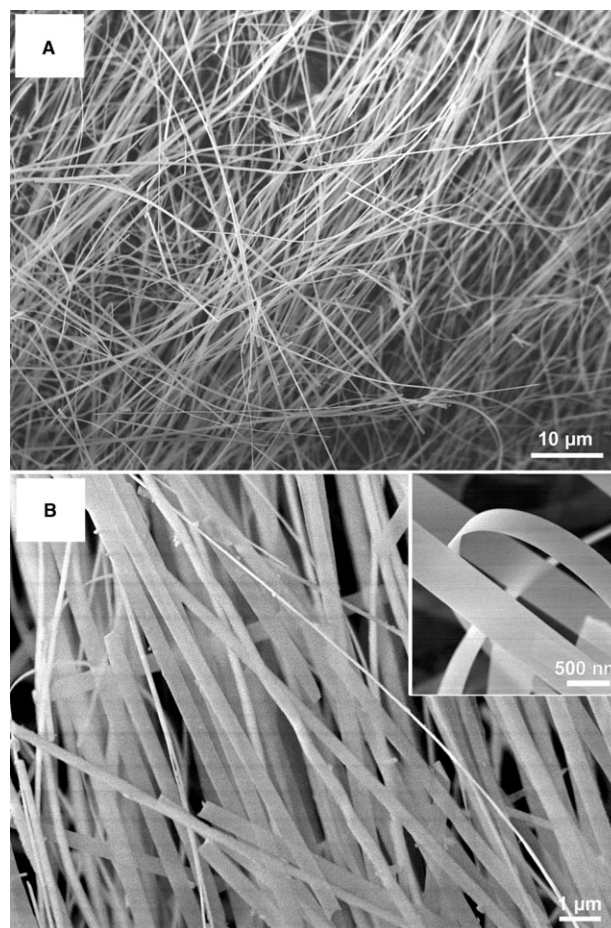


Figure 7. A) and B) SEM images with different magnifications of as-grown, wide  $\alpha$ - $\text{Si}_3\text{N}_4$  nanoribbons. The upper right inset in B) shows the twisted ribbon shape.

shows the formation of uniform, long, fiberlike nanostructures with lengths of up to several hundred of micrometers. Closer examination under high magnification (Figure 7B) reveals that the cross sections of the fiberlike nanostructures are rectangular; each fiber is 90 nm thick and 500 nm to several micrometers wide. Within each individual ribbon, the thickness and width are uniform along its entire length, when compared with those of  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws.

Figures 8A and B show the TEM images of several wide  $\alpha$ - $\text{Si}_3\text{N}_4$  nanoribbons with typical diameters of about 2–3  $\mu\text{m}$ . In accordance with the  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws obtained in this work, the wide  $\alpha$ - $\text{Si}_3\text{N}_4$  nanoribbons are very thin and highly transparent to electrons; the copper grid can be seen through the wide nanoribbons. Ripplelike contrasts are clearly observed and are due to the strain resulting from bending of the structures. The SAED pattern (inset in Figure 8B) indicates the single-crystal nature of the wide  $\alpha$ - $\text{Si}_3\text{N}_4$  nanoribbons. Typical HRTEM lattice images displayed in Figures 8C and D also indicate *d* spacings of 0.56 and 0.67 nm, corresponding well to the (001) and (010) planes, respectively, of  $\alpha$ - $\text{Si}_3\text{N}_4$ . This result is similar to that obtained with the  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws. The SAED and HRTEM

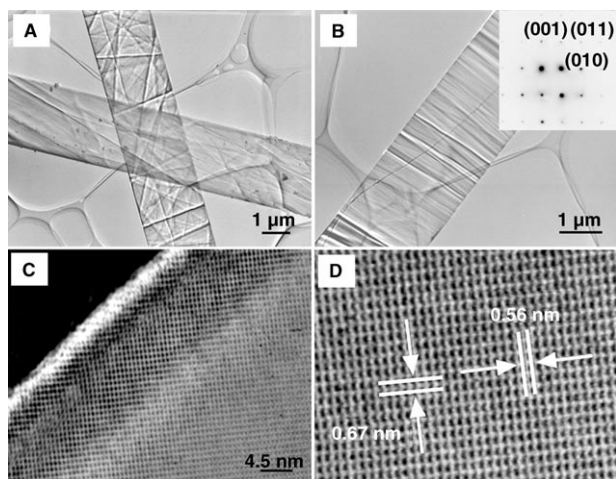


Figure 8. A) and B) Typical TEM images of wide and thin  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nanoribbons grown along the [011] direction with a width of several micrometers. C) and D) HRTEM lattice images of the wide nanobelts grown along the [011] direction. The  $d$  spacings of 0.56 and 0.67 nm in D) correspond well to the (001) and (010) planes of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub>.

results suggest that the wide  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nanoribbons grow along the [011] direction.

**Narrow  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nanoribbons (S4):** Investigation of the deposited product far from the source materials, about 9–11 nm, indicates that large-scale, narrow  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nanoribbons were obtained. Figure 9A is a low-magnification SEM image of the deposited product. The nanoribbons have quite narrow diameters, when compared with the above-analyzed, wide  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nanoribbons. The diameters of these nanoribbons range from 70 to 200 nm and their length is in the hundred micrometer scale. The high-magnification SEM images in Figures 9B and C clearly show twisted ribbonlike shapes. The TEM image in Figure 9D also shows a narrow thickness relative to the width.

**$\alpha$ -Si<sub>3</sub>N<sub>4</sub> nanowires (S5):** On the top of the graphite crucible, another kind of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nanostructure, namely,  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nanowires, was deposited; the SEM image is shown in Figure 10A. Compared with the  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> micro- and nanoribbons, which show rectangular cross sections, the high-magnification SEM image shown in Figure 10B indicates that they have round cross sections with typical diameters of 70–100 nm and lengths of up to several hundreds of micrometers.

**Growth mechanism:** From the HRTEM examination, it was found that no dislocation or planar defects, such as stacking faults or twins, exist in the nanobelts. This is different from the previously reported oxide nanoribbons that grow in the form of twin and stacking fault mechanisms. VS and VLS mechanisms have been widely used to explain the formation of 1D structures.<sup>[20]</sup> In our work, no catalytic metal was used, so we thought that the formation of 1D  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nano-

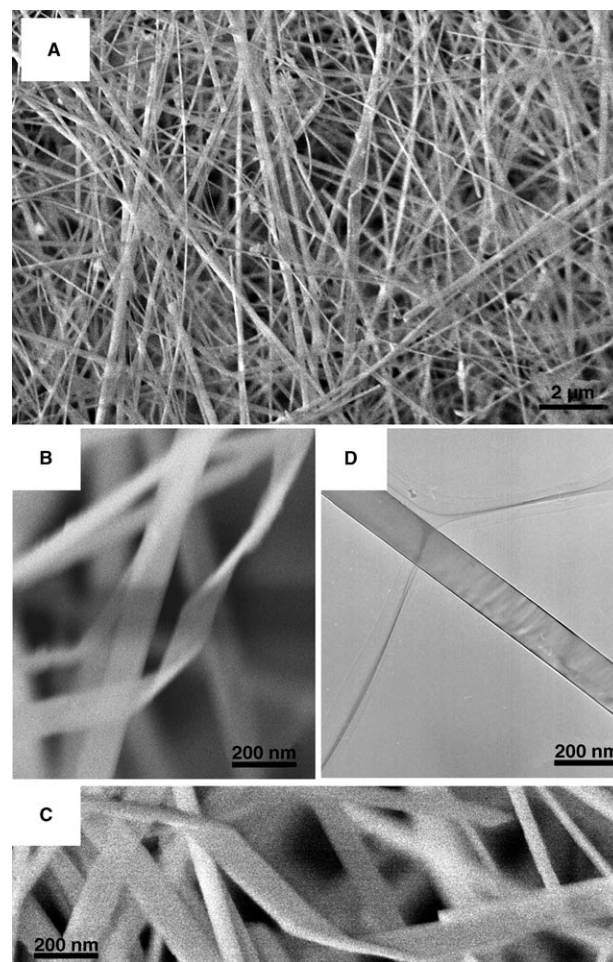


Figure 9. A) Low-magnification SEM image of as-grown, narrow  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nanoribbons. B) and C) High-magnification SEM images showing the twisted ribbon shapes. D) TEM image of the narrow  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nanoribbon.

structures could be nucleated by the VS mechanism. The formation of 1D  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nanostructures may be generally described as outlined in Equations (1) and (2).



At high reaction temperatures, SiO tends to thermally decompose to Si and SiO<sub>2</sub>. Si vapor is slowly produced in the reaction system. The newly generated Si vapor reacts with N<sub>2</sub> resulting in the formation of Si<sub>3</sub>N<sub>4</sub> nanoclusters on the inner wall of the graphite crucible. These Si<sub>3</sub>N<sub>4</sub> nanoclusters are energetically favorable and serve as stable sites for the adhesion of additional Si<sub>3</sub>N<sub>4</sub> molecules. It is known that the surfaces with lower energy tend to grow larger and they remain flat even without atom steps at the growth temperature. Therefore, the low-energy surfaces determine the enclosure surfaces of the ribbons. In the deposition crucible, the gases vapor and concentration decrease as the distance between the source material and the deposition site increases.

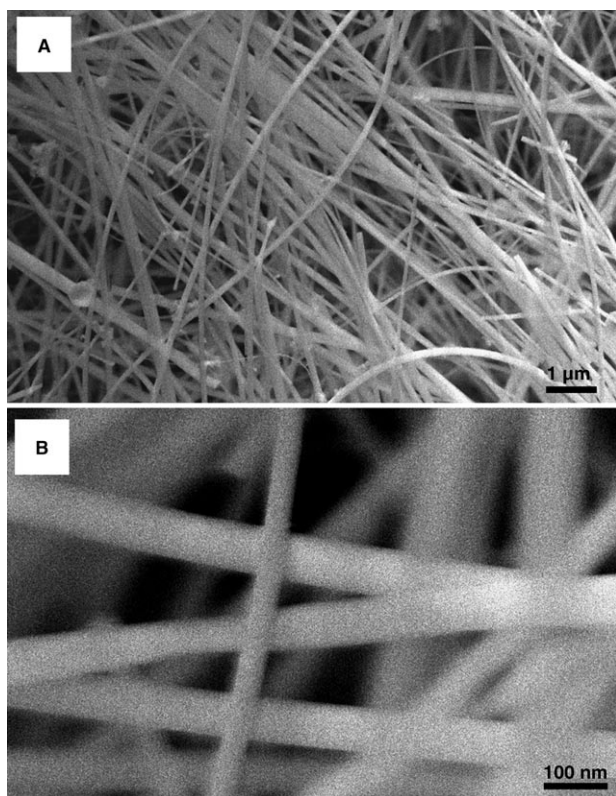


Figure 10. A) Low-magnification and B) high-magnification SEM images of the obtained  $\alpha$ - $\text{Si}_3\text{N}_4$  nanowires.

es. At the deposition site near the source materials, in which the gases vapor and concentration are the highest, very wide and long microribbons are obtained. However, only narrow nanoribbons are formed far away from the source material due to a lack of vapor concentration. In the process,  $\alpha$ - $\text{Si}_3\text{N}_4$  nanowires only deposit on the top of the crucible, for which the vapor concentration and the deposition temperature is the lowest. This result is in agreement with previous reports stating that higher temperature favors the formation of nanoribbons and lower temperature favors nanowires.<sup>[11b,12a,14b]</sup>

**Photoluminescence (PL) properties:** Since the observation of a strong visible PL in porous silicon at room temperature, light emission from Si-based devices has been an important research area for optoelectronic and display applications. This light-emission property plays an important role in the electronics industry, especially in Si-based large-scale integration circuit technology. Fundamentally speaking, an understanding of the electronic or optical properties of  $\text{Si}_3\text{N}_4$  is of great interest. Previously, studies on  $\text{Si}_3\text{N}_4$  show that there are four types of defects:<sup>[21]</sup> Si–Si and N–N bonds, and Si and N dangling bonds. The Si–Si bond forms a bonding  $\sigma$  orbital and antibonding  $\sigma^*$  orbital that are separated by 4.6 eV in stoichiometric  $\text{Si}_3\text{N}_4$ . The silicon dangling bond forms a gap state about midgap#, and the two nitrogen defect states that give rise to levels within the gap, namely,

$\text{N}_4^+$  and  $\text{N}_2^0$ , are near the conduction and valence bands, respectively. Figure 11 shows a comparison of the room-temperature PL spectra of  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws (Figure 11, spec-

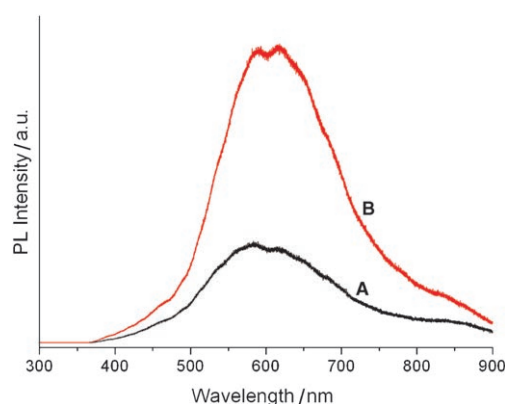


Figure 11. PL spectra of A)  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws and B) wide  $\alpha$ - $\text{Si}_3\text{N}_4$  nanoribbons, showing a broad emission peak around 400–800 nm.

trum A) and wide  $\alpha$ - $\text{Si}_3\text{N}_4$  nanoribbons (Figure 11, spectrum B), respectively. Both samples show strong and broad emissions ranging from around 400 to 800 nm with two maximum peaks centered at 590 and 620 nm. This result is a little different from previous work on wide  $\alpha$ - $\text{Si}_3\text{N}_4$  nanoribbons formed by VS thermal reaction between SiO and  $\text{NH}_3$ <sup>[16]</sup> (only one peak centered at 575 nm was observed), and from  $\alpha$ - $\text{Si}_3\text{N}_4$  nanoribbons formed by catalytic-assisted pyrolysis of a polymeric precursor<sup>[15b]</sup> (three broad peaks centered at 1.8, 2.3, and 3.0 eV were observed). The exact mechanism needs to be studied further.

## Conclusion

In this paper we have reported on the large-scale synthesis of 1D  $\alpha$ - $\text{Si}_3\text{N}_4$  nanostructures by using a simple thermal-decomposition/nitridation method. Besides the formation of millimeter-scale  $\alpha$ - $\text{Si}_3\text{N}_4$  microribbons, wide and narrow  $\alpha$ - $\text{Si}_3\text{N}_4$  nanoribbons and nanowires, and  $\alpha$ - $\text{Si}_3\text{N}_4$  nanosaws were also reported for the first time. Systematic investigation of these nanostructures by using XRD, SEM, TEM, and EELS indicates that all these nanostructures were of single crystalline nature and predominately grew along the [011] direction. These 1D nanostructures were formed by thermal decomposition, followed by the nitridation of SiO at high temperature in a nitrogen gas atmosphere; this procedure was dominated by a VS process. Room-temperature PL spectra of these nanostructures show broad visible emission at around 400–850 nm. The present study may provide not only more comprehensive insights into the formation mechanism of the nanostructures, but also a simple general approach to produce 1D nitride nanostructures with different morphologies.

## Experimental Section

1D  $\text{Si}_3\text{N}_4$  nanostructures were synthesized in a vertical induction furnace that consisted of a fused quartz tube and an induction-heated cylinder made of high-purity graphite coated with a C fiber thermoinsulating layer (Figure 1). The furnace had C inlet pipes on the top and base and C outlet pipes on the base. A specially designed graphite crucible, as shown in Figure 1, containing  $\text{SiO}$  (0.8 g) was placed in the center of the cylinder. After evacuation of the quartz tube to  $\sim 0.2$  torr (1 torr = 133.322 pascal), a pure  $\text{N}_2$  flow was passed through the carbon cylinder at a constant rate of 400 sccm from the top pipe and 600 sccm from the base pipe. The furnace was rapidly heated and kept at 1350 °C for 1 h. After the reaction was terminated and the furnace cooled to room temperature, the collected products were characterized by using XRD (RINT 2200) with  $\text{Cu}_{\text{K}\alpha}$  radiation, SEM (JSM-6700F), and HRTEM (JEM-3000F). The PL measurements were conducted at room temperature by using a He–Cd laser line of 325 nm as the excitation source.

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Received: August 2, 2005  
Published online: January 23, 2006